

SECURITIES / INVESTOR SETTLEMENT INSTRUCTION

證券或投資者交收指示

Please complete this form legibly in BLOCK LETTERS. 請以正楷清楚填寫此表格。

Account Name 客戶姓名: _____	Date 日期: _____
Account No. 帳戶號碼: _____	_____

I/We was/were signed letter of client consent - The Hong Kong Investor Identification Regime (HKIDR) and Over-The-Counter Securities Transactions Reporting Regime (OTCR)?

本人/吾等是否已簽署香港投資者識別碼制度及場外證券交易匯報制度之客戶同意書?

YES 是 NO 否 (Failed to process the following instruction 未能處理以下指示)

Please 請

RECEIVE the following securities for my/our above securities account

將下列證券接收到本人/吾等在上述證券賬戶內

DELIVER the following securities from my/our above securities account

由本人/吾等上述證券賬戶交付下列證券

and input the following Settlement Instructions (SI) / Investor Settlement Instructions (ISI) into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows :並將下列證券或投資者交收指示輸入中央結算交收系統以便進行交收 :

COUNTERPARTY ID 交收對手號碼:		NAME OF COUNTERPARTY 交收對手名稱:		
CONTACT PERSON AND CONTACT NO. 聯絡人及聯絡電話:		SETTLEMENT DATE 交收日期:		
Stock Securities 證券代號	Name Of Securities 證券名稱	Quantity Of Securities 證券數量	Payment Instruction 付款指示 (FOP/DVP/RVP)*	Settlement Amount 交收金額
*Free of Payment(FOP)無須付款交收/ Delivery Versus Payment(DVP) 貨銀對付交收/ Receipt Versus Payment (RVP) 即時貨銀對付				

Notice 注意:

Please submit this form on or before 12:00 noon on a business day. Requests made after the cut-off time will be processed on the next business day.

請將本表格於工作天中午十二時或之前提交。在截數時間後遞交的表格將在隨後的一個工作天辦理。

I/We declare that I/We am/are the beneficial owner of the above Securities Certificate(s) and the Account and agree and understand that our company needs time to process my/our Instruction. I/We am/are required to verify the stock balance of the Account timely, and I/We have the responsibility to discover and report any error or discrepancy in the Account stock balance or the Account statement immediately.

本人/吾等確認本人/吾等乃是以上證券證書及帳戶的實益擁有人並且同意及明白本公司需要時間處理以上之指示。本人/吾等必須及時核實帳戶之證券結餘,及負有責任去即時發現及報告帳戶證券結餘或結單的任何錯誤或差異。

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客戶簽署/公司蓋章 Client Signature(s) / Business Chop

Staff Use Only 職員專用			
Signatures Verified by		HKIDR Checked By	
Securities Verified by			
Approved by			